

CRR SYSC Board Disclosure

G. H. Financials Limited is a non-significant IFPRU CRR Firm. FCA Rule SYSC 4.3A.11 R in the Senior Management Arrangements, Systems and Controls sourcebook (SYSC) requires that a firm must explain on its website how it complies with the requirements of SYSC 4.3A.1 R to SYSC 4.3A.3 R and SYSC 4.3A.4 R to SYSC 4.3A.11 R. The disclosure below addresses the requirements of these rules.

The Board of G.H. Financials Limited (“the firm”):

- is accountable for the implementation of governance arrangements that ensure effective and prudent management of the firm;
- has overall responsibility for management of the firm;
- approves and oversees implementation of the firm’s strategic objectives, risk strategy and internal governance arrangements;
- has responsibility for providing effective oversight of senior management; and
- monitors and periodically assesses the effectiveness of the firm’s governance arrangements and takes appropriate steps to address any deficiencies.

The roles of Chairman and Chief Executive Officer are held by different individuals. The Chairman of the Board is an Independent Non-Executive Director and the Chief Executive Officer is an Executive Director.

The Board is structured such that the Independent Non-Executive Directors and the Executive Directors bring a balance of skills, knowledge and experience to understand the activities of the firm. The Board members have an adequately broad range of experience and are required to commit sufficient time to perform their functions. Induction and ongoing training is provided to all directors. Board members do not hold more directorships than is appropriate taking into consideration FCA requirements in this area.

G. H. Financials Limited seeks to ensure that no discrimination occurs in the recruitment or employment of staff, including members of the Board.



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G. H. Financials Limited is authorised and regulated in the UK by the Financial Conduct Authority.