

**WINJAMMER FILING**

**INITIAL**

**End Date:1/27/2014**

**Firm Name:GH FINANCIALS LLC**

**Form:Daily Seg - 1-FR**

**Submit Date:1/28/2014**

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**Daily Segregation - Cover Page**

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

**GH FINANCIALS LLC**

**Ben Hepden**

**442,076,536,416**

**ben.hepden@ghfinancials.com**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

**250,000**

b. Minimum percentage of customer segregated funds required: % ; or

**0**

c. Dollar amount range between: and ; or

**0 0**

d. Percentage range of customer segregated funds required between: % and %.

**0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

**75,000**

b. Minimum percentage of customer secured funds required: % ; or

**0**

c. Dollar amount range between: and ; or

**0 0**

d. Percentage range of customer secured funds required between: % and %.

**0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

**0**

b. Minimum percentage of cleared swaps customer collateral required: % ; or

**0**

c. Dollar amount range between: and ; or

**0 0**

d. Percentage range of cleared swaps customer collateral required between: % and %.

**0 0**

Attach supporting documents

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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

- 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
  - A. Cash **145,356** [5615]
  - B. Securities (at market) **0** [5617]
- 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade **285,712** [5625]
- 3. Exchange traded options
  - A. Market value of open option contracts purchased on a foreign board of trade **0** [5635]
  - B. Market value of open contracts granted (sold) on a foreign board of trade **0** [5637]
- 4. Net equity (deficit) (add lines 1. 2. and 3.) **431,068** [5645]
- 5. Account liquidating to a deficit and account with a debit balances - gross amount **0** [5651]  
Less: amount offset by customer owned securities **0** [5652] **0** [5654]
- 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) **431,068** [5655]
- 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. **431,068** [5660]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

- 1. Cash in Banks
  - A. Banks located in the United States **626,365** [5700]
  - B. Other banks qualified under Regulation 30.7 **0** [5720] **626,365** [5730]
- 2. Securities
  - A. In safekeeping with banks located in the United States **0** [5740]
  - B. In safekeeping with other banks designated by the Commission **0** [5760] **0** [5770]
- 3. Equities with registered futures commission merchants
  - A. Cash **-230,715** [5780]
  - B. Securities **0** [5790]
  - C. Unrealized gain (loss) on open futures contracts **285,712** [5800]
  - D. Value of long option contracts **0** [5810]
  - E. Value of short option contracts **0** [5815] **54,997** [5820]
- 4. Amounts held by clearing organizations of foreign boards of trade
  - A. Cash **0** [5840]
  - B. Securities **0** [5850]
  - C. Amount due to (from) clearing organization - daily variation **0** [5860]
  - D. Value of long option contracts **0** [5870]
  - E. Value of short option contracts **0** [5875] **0** [5880]
- 5. Amounts held by member of foreign boards of trade
  - A. Cash **0** [5900]
  - B. Securities **0** [5910]
  - C. Unrealized gain (loss) on open futures contracts **0** [5920]
  - D. Value of long option contracts **0** [5930]
  - E. Value of short option contracts **0** [5935] **0** [5940]
- 6. Amounts with other depositories designated by a foreign board of trade **0** [5960]
- 7. Segregated funds on hand **0** [5965]
- 8. Total funds in separate section 30.7 accounts **681,362** [5970]
- 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) **250,294** [5680]
- 10. Management Target Amount for Excess funds in separate section 30.7 accounts **75,000** [5980]
- 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target **175,294** [5985]

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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1.	Net ledger balance	
	A. Cash	<b><u>12,145,181</u></b> [5000]
	B. Securities (at market)	<b><u>0</u></b> [5010]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<b><u>-5,042,881</u></b> [5020]
3.	Exchange traded options	
	A. Market value of open option contracts purchased on a contract market	<b><u>0</u></b> [5030]
	B. Market value of open option contracts granted (sold) on a contract market	<b><u>0</u></b> [5040]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<b><u>7,102,300</u></b> [5050]
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	<b><u>0</u></b> [5060]
	Less: amount offset by customer owned securities	<b><u>0</u></b> [5070] <b><u>0</u></b> [5080]
6.	Amount required to be segregated (add lines 4 and 5)	<b><u>7,102,300</u></b> [5090]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	<b><u>2,910,643</u></b> [5100]
	B. Securities representing investment of customers' funds (at market)	<b><u>0</u></b> [5110]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b><u>0</u></b> [5120]
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<b><u>5,250,101</u></b> [5130]
	B. Securities representing investment of customers' funds (at market)	<b><u>0</u></b> [5140]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b><u>0</u></b> [5150]
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<b><u>231,435</u></b> [5160]
10.	Exchange traded options	
	A. Value of open long option contracts	<b><u>0</u></b> [5170]
	B. Value of open short option contracts	<b><u>0</u></b> [5180]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<b><u>0</u></b> [5190]
	B. Securities representing investment of customers' funds (at market)	<b><u>0</u></b> [5200]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b><u>0</u></b> [5210]
12.	Segregated funds on hand	<b><u>0</u></b> [5215]
13.	Total amount in segregation (add lines 7 through 12)	<b><u>8,392,179</u></b> [5220]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<b><u>1,289,879</u></b> [5230]
15.	Management Target Amount for Excess funds in segregation	<b><u>250,000</u></b> [5240]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	<b><u>1,039,879</u></b> [5250]

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**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements	
1. Net ledger balance	
A. Cash	<u>0</u> [8500]
B. Securities (at market)	<u>0</u> [8510]
2. Net unrealized profit (loss) in open cleared swaps	<u>0</u> [8520]
3. Cleared swaps options	
A. Market value of open cleared swaps option contracts purchased	<u>0</u> [8530]
B. Market value of open cleared swaps option contracts granted (sold)	<u>0</u> [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3)	<u>0</u> [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	<u>0</u> [8560]
Less: amount offset by customer owned securities	<u>0</u> [8570] <u>0</u> [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) Funds in Cleared Swaps Customer Segregated Accounts	<u>0</u> [8590]
7. Deposited in cleared swaps customer segregated accounts at banks	
A. Cash	<u>0</u> [8600]
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8610]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts	
A. Cash	<u>0</u> [8630]
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8640]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8650]
9. Net settlement from (to) derivatives clearing organizations	<u>0</u> [8660]
10. Cleared swaps options	
A. Value of open cleared swaps long option contracts	<u>0</u> [8670]
B. Value of open cleared swaps short option contracts	<u>0</u> [8680]
11. Net equities with other FCMs	
A. Net liquidating equity	<u>0</u> [8690]
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8700]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8710]
12. Cleared swaps customer funds on hand	
A. Cash	<u>0</u>
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u>0</u> [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	<u>0</u> [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts	<u>0</u> [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess	<u>0</u> [8770]